

USPTO 26 JAN 2005

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PCT REQUEST

JHOTZ-0001WO

Original (for SUBMISSION) - printed on 25.07.2003 03:19:25 PM

0	For receiving Office use only	
0-1	International Application No.	
0-2	International Filing Date	
0-3	Name of receiving Office and "PCT International Application"	
0-4	Form - PCT/RO/101 PCT Request	
0-4-1	Prepared using	PCT-EASY Version 2.92 (updated 01.04.2003)
0-5	Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	United States Patent and Trademark Office (USPTO) (RO/US)
0-7	Applicant's or agent's file reference	JHOTZ-0001WO
I	Title of invention	APPARATUS AND METHOD FOR ENCTYPTION AND DECRYPTION
II	Applicant	
II-1	This person is:	applicant and inventor
II-2	Applicant for	all designated States
II-4	Name (LAST, First)	HOTZ , Jimmy Christian,
II-5	Address:	3094 Fort Courage Avenue Thousand Oaks, CA 91360 United States of America
II-6	State of nationality	US
II-7	State of residence	US
II-8	Telephone No.	805-492-5553
II-9	Facsimile No.	801-751-5570
IV-1	Agent or common representative; or address for correspondence The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	agent
IV-1-1	Name (LAST, First)	RITCHIE, David, B.
IV-1-2	Address:	THELEN REID & PRIEST LLP P.O. BOX 640640 San Jose, CA 95164-0640 United States of America
IV-1-3	Telephone No.	408.292.5800
IV-1-4	Facsimile No.	408.287.8040
IV-1-5	e-mail	dritchie@thelenreid.com

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IV-2	Additional agent(s)	additional agent(s) with same address as first named agent
IV-2-1	Name(s)	KREBS,, Robert E., (25,885); BOHNER, Hal(27,856); GILMER, Davis(44,711); HANISH, Marc, S.(42,626); LO, Thierry(49,097); NIECE, William, S.(47,824); ROBBINS, Steve(40,299); SCHAUB, John, P.(42,125); WINTERS, William(42,232); YEUNG, Adrienne(44,000); ANDO, Masako,
V	Designation of States	
V-1	Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	<p>AP: GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW and any other State which is a Contracting State of the Harare Protocol and of the PCT</p> <p>EA: AM AZ BY KG KZ MD RU TJ TM and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT</p> <p>EP: AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE SI SK TR and any other State which is a Contracting State of the European Patent Convention and of the PCT</p> <p>OA: BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT</p>
V-2	National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	<p>AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH&LI CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW</p>


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V-5	Precautionary Designation Statement In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.	
V-6	Exclusion(s) from precautionary designations	NONE
VI-1	Priority claim of earlier national application	
VI-1-1	Filing date	27 July 2002 (27.07.2002)
VI-1-2	Number	60/399,092
VI-1-3	Country	US
VI-2	Priority document request The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):	VI-1
VII-1	International Searching Authority Chosen	European Patent Office (EPO) (ISA/EP)
VIII	Declarations	Number of declarations
VIII-1	Declaration as to the identity of the inventor	-
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	-
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	-
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	1
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-


VIII-4-1	<p>Declaration: Inventorship (only for the purposes of the designation of the United States of America) Declaration of inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:</p>	<p>I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.</p> <p>This declaration is directed to the international application of which it forms a part (if filing declaration with application).</p> <p>I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications," by application number, country or Member of the World Trade Organization, day, month and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.</p>
VIII-4-1 -1	Prior applications:	60/399,092, US, 27 July 2002 (27.07.2002)

		<p>I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application.</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>
VIII-4-1 -1-1	Name:	HOTZ , Jimmy Christian,
VIII-4-1 -1-2	Residence: (city and either US State, if applicable, or country)	Thousand Oaks, California
VIII-4-1 -1-3	Mailing address:	3094 Fort Courage Avenue
VIII-4-1 -1-4	Citizenship:	US
VIII-4-1 -1-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	X 
VIII-4-1 -1-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	X 23 July, 2003 (23.07.2003)

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IX	Check list	number of sheets	electronic file(s) attached
IX-1	Request (including declaration sheets)	6	-
IX-2	Description	42	-
IX-3	Claims	15	-
IX-4	Abstract	1	EZABST00.TXT
IX-5	Drawings	19	-
IX-7	TOTAL	83	
	Accompanying items	paper document(s) attached	electronic file(s) attached
IX-8	Fee calculation sheet	✓	-
IX-17	PCT-EASY diskette	-	Diskette
IX-19	Figure of the drawings which should accompany the abstract	2	
IX-20	Language of filing of the international application	English	
X-1	Signature of applicant, agent or common representative		
X-1-1	Name (LAST, First)	RITCHIE, David, B.	

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10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/EP
10-6	Transmittal of search copy delayed until search fee is paid	

FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by the International Bureau	
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PCT (ANNEX - FEE CALCULATION SHEET)

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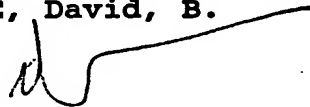
(This sheet is not part of and does not count as a sheet of the international application)

0	For receiving Office use only			
0-1	International Application No.			
0-2	Date stamp of the receiving Office			
0-4	Form - PCT/RO/101 (Annex) PCT Fee Calculation Sheet			
0-4-1	Prepared using	PCT-EASY Version 2.92 (updated 01.04.2003)		
0-9	Applicant's or agent's file reference	JHOTZ-0001WO		
2	Applicant	HOTZ , Jimmy Christian,		
12	Calculation of prescribed fees	fee amount/multiplier	Total amounts (USD)	
12-1	Transmittal fee T	⇒	240	
12-2-1	Search fee S	⇒	1,020	
12-2-2	International search to be carried out by	EP		
12-3	International fee			
	Basic fee (first 30 sheets) b1	476		
12-4	Remaining sheets	53		
12-5	Additional amount (X)	12		
12-6	Total additional amount b2	636		
12-7	b1 + b2 = B	1,112		
12-8	Designation fees			
	Number of designations contained in international application	97		
12-9	Number of designation fees payable (maximum 5)	5		
12-10	Amount of designation fee (X)	104		
12-11	Total designation fees D	520		
12-12	PCT-EASY fee reduction R	-148		
12-13	Total International fee (B+D-R) I	⇒	1,484	
12-17	TOTAL FEES PAYABLE (T+S+I+P)	⇒	2,744	
12-19	Mode of payment	cheque		
12-20	Deposit account instructions			
	The receiving Office:	United States Patent and Trademark Office (USPTO) (RO/US)		
12-20-2	Authorization to charge any deficiency or credit any overpayment in the total fees indicated above.	✓		
12-20-3	Authorization to charge the fee for priority document.	✓		
12-21	Deposit account No.	50-1698		
12-22	Date	25 July 2003 (25.07.2003)		

PCT (ANNEX - FEE CALCULATION SHEET)

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12-23	Name and signature	RITCHIE, David, B. 
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VALIDATION LOG AND REMARKS

13-2-7	Validation messages Contents	Yellow! The power of attorney or a copy of the general power of attorney will need to be furnished unless all applicants sign the request form.
		Green? The abstract appears to be more than 150 words, applicant is reminded that it should be as concise as the disclosure permits (preferably 50 to 150 words if it is in English or when translated into English)
		Green? Figure of the drawings which should accompany the abstract not specified. Please verify.